

I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

10/20/03  
Date of Deposit  
Nancy S. Dedek  
Nancy S. Dedek

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John J. Mullay, et al.

Serial No.: Unknown

Examiner: Unknown

Filed: Herewith

Art Unit: Unknown

For: Novel Oil-in-Water Emulsifiers

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450, Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449, and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

The present Information Disclosure Statement is being filed no later than three months from the application's filing date or before the mailing date of the first office action on the merits (whichever is later), and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants believe that no fee is required for the filing of this document. However, if any fees are due, the Commissioner is hereby authorized to charge such fee to our Deposit Account No. 12-2275. A duplicate copy of this document is enclosed for such purpose.

Respectfully submitted,

THE LUBRIZOL CORPORATION

Teresan W. Gilbert

Teresan W. Gilbert  
Registration No. 31,360

29400 Lakeland Blvd.  
Wickliffe, Ohio 44092-2298  
Telephone: 440-347-5072  
Facsimile: 440-347-1110



**Sent:** 20 October 2003 16:25  
**To:** Ellyatt, William  
**Subject:** 3221 appln to be filed today

Will,

Teresan wanted me to ask you if I had included all the art on the information disclosure statement:

The IDS includes:

4,708,753		11/24/87		Forsberg
4,776,996		10/11/98		Baker
WO	03/029309 A2	4/10/03	PCT (BASF)	
EP	0156572A2	10/2/85	EPO (Imperial Chemicals)	
JP	1995173346A	7/11/95	Japan (Nippon Petrochemicals)	

*Nancy Dedek*